



GP2181 #4  
cu  
07.20.02

Attorney Docket No.: 3COM 3641-1

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, Washington, DC 20231, on October 23, 2001.

Amy Jonsson

Amy Jonsson  
Signature Date: October 23, 2001

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 04 2002

Technology Center 2100

In re Application

Inventor(s): Nathan Henderson et al.  
Appl. No.: 09/942,789  
Confirm. No.: N/A  
Filed: 29 August 2001  
Title: High Speed Network Interface with Automatic Power Management with Auto-Negotiation

Art Unit: Unknown  
Examiner: Unknown

Customer No. 22470

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner of Patents  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

*Enclosed with this statement are the following:*

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance

is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

**PTA Statement under 37 C.F.R. §704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**This statement should be considered because:**

- **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
  - (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
  - (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
  - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
  - (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.  
-- AND (*check at least one of the following*) --
    - (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
    - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
  - (1) It is being filed on or before payment of the issue fee;  
-- AND --
  - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
  - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

Application No. 09/942,789

**Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

HAYNES BEFFEL & WOLFELD LLP

Date: 23 October 2001

By:   
Mark A. Haynes, Reg. No. 30,846

HAYNES BEFFEL & WOLFELD LLP  
P.O. Box 366  
Half Moon Bay, CA 94019  
Telephone: 650-712-0340  
Facsimile: 650-712-0263

Form PTO-1449 <i>(Substitute)</i>	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number 3COM 3641-1	Serial/Patent Number 09/942,789
<b>INFORMATION DISCLOSURE STATEMENT</b> BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner Nathan Henderson et al.	
		Filing/Issue Date 29 August 2001	Group Art Unit Unknown

COPY OF PAPERS  
ORIGINALLY FILED

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	5,809,223	09/15/98	Lee et al.	395	182.02	11/07/96
	6,026,494	02/15/00	Foster	713	320	04/21/98
	5,938,771	08/17/99	Williams et al.	713	310	10/30/97
	6,148,002	11/14/00	Patel et al.	370	438	03/15/99
	5,883,894	03/16/99	Patel et al.	370	438	12/30/96

FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes   No
	WO 98/30039	07/09/98	WO-PCT			X
			RECEIVED			
			MAR 04 2002			
			Technology Center 2100			

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)	
	<i>PCI Bus Power Management Interface Specification December 18, 1998 PCI Special Interest Group consisting of 71 pages</i>
	<i>InfiniBand Architecture Specification Volume 2 Release 1.0 October 24, 2000 InfiniBand Trade Association consisting of 27 pages</i>

Examiner	Date Considered
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
*1 = Copy not submitted because it was submitted in prior application SN /_____, filed _____, 20_____, relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN /_____, filed _____, 20_____, relied on under 35 USC §120.	